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Date June 1, 2005 Client-Matter 74622-006

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Company: United States Patent and Trademark Office - Group Art Unit 3624 Voice No.: 703.305.4458

Message

Re: Inventor : William Fitzpatrick
Serial No. : 09/712,358
Filing Date : November 14, 2000
Title : BROWSER INTERFACE AND NETWORK BASED FINANCIAL
SERVICE SYSTEM
Att'y Docket : 74622-006

Attached please find the following:

- 1) Power of Attorney and Correspondence Address Indication Form
- 2) Statement Under 37 CFR 3.73(b)

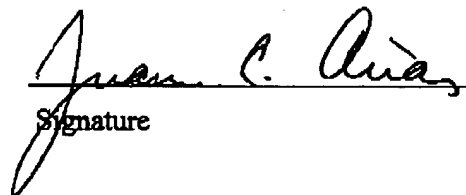
Please contact John C. Stellabotte at (212) 969-3413 if you have any questions.

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PTO/SB/81 (09-04)

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**POWER OF ATTORNEY
and
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INDICATION FORM**

Application Number	09/712,358
Filing Date	November 14, 2000
First Named Inventor	William Fitzpatrick
Title	BROWSER INTERFACE AND NETWORK BASED FINANCIAL SERVICE SYSTEM
Art Unit	3624
Examiner Name	Charles R. Kyo
Attorney Docket Number	74622-006

I hereby appoint:

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I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Signature		Date	5/13/05
Name	Gregg I. Goldman	Telephone	203.719.3099
Title and Company	Director and Senior Counsel, Technology and Intellectual Property, UBS AG, Stamford Branch		

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ Total of _____ forms are submitted.

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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: William FitzpatrickApplication No./Patent No.: 09/712,358 Filed/Issue Date: November 14, 2000Entitled: **BROWER INTERFACE AND NETWORK BASED FINANCIAL SERVICE SYSTEM**

UBS Financial Services, Inc., a Corporation
 (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
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in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: William Fitzpatrick et al. To: Painewebber Incorporated
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[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.


 Signature

5-12-05
 Date

Gregg J. Goldman

203 719 3099

Printed or Typed Name

Telephone Number

Director and Senior Counsel, Technology and
 Intellectual Property, UBS AG, Stamford Branch

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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